A Categorical Framework for the Quantum Harmonic Oscillator

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Abstract This paper describes how the structure of the state space of the quantum harmonic oscillator can be described by an adjunction of categories, that encodes the raising and lowering operators into a commutative comonoid. The formulation is an entirely general one in which Hilbert spaces play no special role.

Generalised coherent states arise through the hom-set isomorphisms defining the adjunction, and we prove that they are eigenstates of the lowering operators. Generalised exponentials also emerge naturally in this setting, and we demonstrate that coherent states are produced by the exponential of a raising morphism acting on the zero-particle state. Finally, we examine all of these constructions in a suitable category of Hilbert spaces, and find that they reproduce the conventional mathematical structures.

Keywords Quantum · Category · Fock space · Canonical commutation relations · Harmonic oscillator

1 Introduction

With [[1](#page-38-0)], a research programme was begun to describe many of the familiar aspects of quantum mechanics—such as Hilbert spaces, unitary operators, states, inner products, superposition and entanglement—in terms of the structure of a category. This programme suggests that we do quantum mechanics in an entirely new way: rather than explicitly working with the mathematics of Hilbert spaces, we should construct the category of Hilbert spaces and identify those parts of the categorical structure which are needed for the quantum mechanics that we want to do. Our quantum mechanics can then be done abstractly, in terms of this categorical structure. Such an approach leads to deeper insights into the mathematical structures which are necessary for quantum mechanics, as well as opening up new avenues for its generalisation.

In this paper, we describe a way to extend the categorical description of quantum mechanics to encompass the quantum harmonic oscillator, one of the most basic and important

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quantum systems. We accomplish this with the theory of adjunctions, itself one of the most basic and important tools of category theory. The approach is based on the well-known fact, first put into print in [\[2](#page-39-0)], that the symmetric Fock space over a given single-particle Hilbert space happens to be given by the canonical free commutative monoid object over that Hilbert space. In fact, we shall see that much more can be obtained from the free commutative monoid construction; every part of the adjunction structure corresponds naturally to some aspect of the traditional mathematical treatment of the quantum harmonic oscillator. A key observation is that the natural isomorphisms

$$
F(A \oplus B) \simeq F(A) \otimes F(B)
$$

canonically associated to the adjunction should be unitary, for all Hilbert spaces *A* and *B*, where $F(A)$ represents the Fock space over a Hilbert space A, and \oplus and \otimes represent direct sum and tensor product respectively. The standard free commutative monoid functor will not, however, give rise to a unitary natural isomorphism in general.

This categorical presentation of the quantum harmonic oscillator will allow us, in principle, to construct it in unusual categorical settings. It is quite possible that standard quantum theory will not be able to support more fundamental theories of physics, such as quantum gravity [[8](#page-39-0)]. If this is the case it will be necessary to abandon the Hilbert space formalism for a more fundamental approach, and given the results of this paper, it could be possible to translate much of our understanding of the quantum harmonic oscillator into this new setting.

2 Categorical Tools for Quantum Mechanics

2.1 Summary

In this section we introduce the category theory that is useful for describing finitedimensional quantum mechanics, which we will be using throughout this paper to work with the quantum harmonic oscillator. We assume knowledge of some basic category theory, including limits and adjoint functors. The structure that we will define by the end of this section is that of †-compact-closed categories with †-biproducts.

All of the structures in this section can be applied to the category **FdHilb**, which has finite-dimensional Hilbert spaces as objects and bounded linear operators as morphisms. As we introduce each element of the structure, we will show how it can be formulated in this category, and describe how it captures some aspect of quantum mechanics as discussed in [[1\]](#page-38-0).

2.2 Symmetric Monoidal Structure

A symmetric monoidal category **C** has a functor

$$
\otimes : C \times C \rightarrow C
$$

called the tensor product, and an object *I* called the monoidal unit, such that for all objects *A*, *B* and *C* in **C** the following natural isomorphisms exist:

$$
\rho_A: A \otimes I \simeq A,
$$

$$
\lambda_A: I \otimes A \simeq A,
$$

$$
\alpha_{A,B,C}: A \otimes (B \otimes C) \simeq (A \otimes B) \otimes C,
$$

swap $\{A,B,C\} \wedge A \otimes B \simeq B \otimes A.$

We also require that the swap[⊗] isomorphisms satisfy the equation

$$
\mathrm{swap}_{B,A}^{\otimes} \circ \mathrm{swap}_{A,B}^{\otimes} = \mathrm{id}_A \otimes \mathrm{id}_B
$$

for all objects *A* and *B*. The morphisms ρ , λ and α must also be compatible with each other, so that any diagram built solely from these isomorphisms, their inverses and the tensor product operation is commutative. Up to isomorphism, the tensor product \otimes provides a commutative, associative operation on our category, with unit object *I* . For readability we shall often exploit the coherence theorem [[10](#page-39-0)], which proves that any monoidal category is equivalent to one for which ρ , λ and α are the identity at every stage; this allows us to neglect these isomorphisms when it is convenient. A good general reference for the theory of symmetric monoidal categories is [[10](#page-39-0)].

The category **FdHilb** is a symmetric monoidal category, with the operation \otimes given by the tensor product of Hilbert spaces. The tensor unit *I* is the one-dimensional Hilbert space $\mathbb C$, the complex numbers. Observing that we can access the multiplicative monoid structure of the complex numbers as the linear operators $\mathbb{C} \to \mathbb{C}$, we will refer to the endomorphism monoid $Hom(I, I)$ in any monoidal category as the monoid of *scalars*. It can be shown that the scalars in any monoidal category are commutative [\[6](#page-39-0)].

Also, we note that the vectors in a finite-dimensional Hilbert space *A* are in correspondence with bounded linear operators $\mathbb{C} \rightarrow A$ in **FdHilb**. Since a state of a Hilbert space is given by a nonzero element of that Hilbert space, we can generalise the notion of state directly: given an arbitrary object *B* in a symmetric monoidal category **C**, the *states* of *B* are the nonzero morphisms $Hom_C(I, B)$. (We will encounter an abstract formulation of zero morphisms in Sect. [2.4.](#page-3-0))

2.3 Graphical Representation

Monoidal categories have a useful graphical representation $[9, 12]$ $[9, 12]$ $[9, 12]$ $[9, 12]$. We represent objects of the category as vertical lines, and the tensor product operation as lines placed side-by-side. Morphisms are junction boxes, with lines coming in and lines going out. For example, given objects *A*, *B*, and *C*, and morphisms $f : A \otimes B \to B \otimes A$ and $g : C \otimes A \to I$, we represent the composition

$$
(\mathrm{id}_B \otimes g) \circ (\mathrm{id}_B \otimes \mathrm{swap}_{A,C}^{\otimes}) \circ (f \otimes \mathrm{id}_C) : A \otimes B \otimes C \to B
$$

using the following diagram:

The diagram is read from bottom to top. We represent the junction boxes using a wedge shape as we will later be rotating and reflecting them, and we want to break the symmetry so that their orientation can be identified. The tensor unit *I* is represented by a blank space; in other words, it is not represented at all. The swap[⊗] isomorphism is represented by a crossing of lines, and because we are working in a symmetric monoidal category, it makes no difference which line goes over which. This graphical representation makes the structural isomorphisms $ρ$, $λ$, $α$ and swap[⊗] intuitive, and so is often a useful way to understand complex constructions in monoidal categories.

2.4 Biproducts

In some categories, products and coproducts become unified in a particularly elegant way.

Definition 2.1 A category has a *zero object*, written 0, when it has isomorphic initial and terminal objects. Each hom-set Hom*(A,B)* then gains a *zero morphism*, written 0*A,B*, which is the unique morphism in the hom-set that factors through the zero object.

Definition 2.2 A category has *biproducts* if and only if, for all objects *A* and *B*, the unique arrow $w_{A,B}: A + B \rightarrow A \times B$ that satisfies the equations

$$
\pi_A \circ w_{A,B} \circ i_A = id_A,
$$

\n
$$
\pi_B \circ w_{A,B} \circ i_A = 0_{A,B},
$$

\n
$$
\pi_A \circ w_{A,B} \circ i_B = 0_{B,A},
$$

\n
$$
\pi_B \circ w_{A,B} \circ i_B = id_B
$$

is an isomorphism, where i_A and i_B are the coproduct injections and π_A and π_B are the product projections.

In a category with biproducts, we represent the isomorphic product and coproduct by the symbol ⊕, and call it a biproduct.

A category with biproducts is *enriched over commutative monoids*; in other words, any hom-set $Hom(A, B)$ carries the structure of a commutative monoid, with unit $0_{A,B}$. We interpret the monoid action as being addition, and we define it in the following way:

$$
A \xrightarrow{f+g} B
$$

\n
$$
\Delta_A \downarrow \qquad \qquad \nabla_B
$$

\n
$$
A \oplus A \xrightarrow{f \oplus g} B \oplus B
$$

\n(1)

Here, Δ_A is the diagonal for the product, and ∇_A is the codiagonal for the coproduct.

In a category with biproducts, we can always choose the canonical injections and projections to satisfy some useful properties, and when we talk about 'the' injections and projections, it will be these well-behaved ones which are meant. For all objects $B := A_1 \oplus A_2 \oplus \ldots \oplus A_N$, we can choose projection morphisms $\pi_n : B \to A_n$ and injection morphisms $i_n: A_n \to B$ such that the following properties hold:

1.
$$
\pi_n \circ i_n = \text{id}_{A_n}
$$
 for all *n*;

2. $\pi_m \circ i_n = 0_{A_n, A_m}$ when $n \neq m$;

3. $\sum_{n=1}^{N} (i_n \circ \pi_n) = id_B$, where this sum is as defined in diagram [\(1\)](#page-3-0).

In fact, in a category which is enriched over commutative monoids, this can be taken as the definition of a biproduct structure.

Naturality of the diagonal and codiagonal morphisms implies that in a category with biproducts, composition is linear. In other words, for all $f, f' : A \rightarrow B$ and $g, g' : B \rightarrow C$, we have

$$
g \circ (f + f') = (g \circ f) + (g \circ f'), \qquad (g + g') \circ f = (g \circ f) + (g' \circ f).
$$

The scalars in the category interact nicely with a biproduct structure. Not only can we add elements of hom-sets, but we can also multiply them by scalars in a well-defined way.

Definition 2.3 For any morphism $f: A \rightarrow B$, for any objects *A* and *B*, and for any scalar $s: I \rightarrow I$, the scalar multiple $s \cdot f$ is defined as follows:¹

$$
\lambda_A^{-1} \downarrow B
$$
\n
$$
\lambda_A^{-1} \downarrow B
$$
\n
$$
I \otimes A \xrightarrow{\mathcal{S} \otimes f} I \otimes B
$$
\n(2)

In fact, the scalars interact with the biproduct structure in such a way that each hom-set gains the structure of a *commutative semimodule*, a weakening of the notion of a vector space. In particular, for all scalars *s* and *p* and all morphisms *f* , we have

$$
(s \circ p) \cdot f = s \cdot (p \cdot f).
$$

Scalar multiplication is also well-behaved with respect to composition, meaning that for any *f* and *g* with *g* ◦ *f* well-defined, we have

$$
g \circ (s \cdot f) = (s \cdot g) \circ f = s \cdot (g \circ f).
$$

The category **FdHilb** has biproducts, given by the direct sum of Hilbert spaces. In this case, we can choose the i_n as isometric injections of subspaces, and the π_n as projections of subspaces which are unitary restricted to their support. In an arbitrary symmetric monoidal category with biproducts, the commutative monoid structure induced on the homsets gives us a way to add states: given arbitrary ϕ , ψ : $I \rightarrow A$, the superposition is given by $\phi + \psi : I \to A$. In **FdHilb**, this agrees with the usual notion of addition of vectors.

2.5 †-Categories

Definition 2.4 A category **C** is a †*-category* if it is endowed with a contravariant endofunctor $\dagger : \mathbb{C} \to \mathbb{C}$, which is the identity on objects, and which satisfies $\dagger \circ \dagger = id_{\mathbb{C}}$.

¹Of course, we could equally use ρ_A here.

A category might admit multiple endofunctors satisfying this condition, but if we refer to it as a †-category then we must have a particular one in mind, which we will refer to as †. We also note that any \dagger -category must be isomorphic to its opposite.

We can use this structure to adapt some useful terminology from the mathematics of Hilbert spaces.

Definition 2.5 For any morphism f , we call f^{\dagger} its *adjoint*.

Definition 2.6 A morphism $f : A \rightarrow A$ is *self-adjoint* if it satisfies $f^{\dagger} = f$.

Definition 2.7 A morphism $f : A \rightarrow B$ is an *isometry* if it satisfies $f^{\dagger} \circ f = id_A$; in other words, if its adjoint is its retraction.

Definition 2.8 A morphism $f : A \to B$ is *unitary* if it satisfies $f^{\dagger} \circ f = id_A$ and $f \circ f^{\dagger} =$ id_B ; in other words, if both *f* and f^{\dagger} are isometries. In this case, *A* and *B* are of course isomorphic as objects of **C**.

If a †-category has additional structure, we will often require that the additional structure be compatible with the †-functor.

Definition 2.9 A †-category has †*-biproducts* iff it has biproducts, such that the canonical projections and injections are related by the †-functor.

Definition 2.10 A *symmetric monoidal* †*-category* is defined in the obvious way, but with the extra condition that the canonical isomorphisms associated to the symmetric monoidal structure are unitary.

Definition 2.11 (Notation due to Selinger [\[13\]](#page-39-0)) An equaliser $d : D \rightarrow A$ is a \dagger *-equaliser* if *d* is an isometry (note that it must automatically be monic, by the properties of the equaliser).

We also note that natural transformations defined between functors connecting †-categories may themselves admit a notion of adjoint.

Definition 2.12 For \dagger -categories **C** and **D**, a functor $J: \mathbb{C} \to \mathbb{D}$ is *compatible with the* †-structures iff for all morphisms f in C, we have $J(f^{\dagger}) = (J(f))^{\dagger}$, where we employ the †-functors on **C** and **D** respectively.

Lemma 2.13 *Given a natural transformation* $n : J \rightarrow K$ *for functors* $J, K : \mathbb{C} \rightarrow \mathbb{D}$ *compatible with* \dagger -structures on **C** and **D**, then *n* has an adjoint natural transformation n^{\dagger} : $K \rightarrow J$, *defined at each stage A of* **C** *by* $(n^{\dagger})_A := (n_A)^{\dagger}$.

As is familiar from functional analysis, a linear map $f : A \rightarrow B$ between two Hilbert spaces *A* and *B* has an adjoint, $f^{\dagger}: B \to A$. In the category **FdHilb**, we use this for our †-structure. The adjoint map is defined by way of the inner product, and dually, the †-functor can be used to compute inner products, in the following way: given two states ϕ , $\psi : \mathbb{C} \to A$, their inner product $(\phi, \psi)_A$ is given categorically by the composition $\phi^{\dagger} \circ \psi : \mathbb{C} \to \mathbb{C}$, a complex number. We see that nothing is lost by encoding the inner products into the †-functor in this way.

2.6 Duals and †-Compact Closure

A symmetric monoidal category has *duals*, or equivalently is *compact-closed*, if for every object *A* there exists a second object *A*[∗] and morphisms

$$
\zeta_A: I \to A \otimes A^*, \qquad \theta_A: A^* \otimes A \to I
$$

which satisfy the following diagrams:

These equations imply that A^* is unique up to isomorphism, and that $(A^*)^* \simeq A$. However, it is useful to talk about A^* as if it were unique, and to use $(A^*)^* = A$ as if it held as an equation, knowing that what we do will only hold up to isomorphism. We refer to *A*[∗] as *the dual* of *A*.

We can use the duals to define a natural isomorphism of hom-sets

$$
S_{A,B,C} : \text{Hom}(A \otimes B, C) \simeq \text{Hom}(B, C \otimes A^*)
$$
 (5)

for all objects A, B and C, as shown in the following diagrams for any $f: A \otimes B \to C$ and $f' : B \to C \otimes A^*$ related by the isomorphism:

Here, the morphisms of the form $swap_{A,B}^{\otimes}$ are the symmetry isomorphisms that make up part of the symmetric monoidal structure. Equations [\(3\)](#page-6-0) and [\(4\)](#page-6-0) ensure that the diagrams are inverse to each other in the correct way.

The graphical representation of the dual structure is especially powerful. If an object *A* is represented by a line with arrow oriented up the page, then its dual *A*[∗] has an arrow oriented down the page, and vice-versa. The duality morphisms ζ_A and θ_A then take the form of lines which loop back on themselves:

Equations ([3\)](#page-6-0) and ([4](#page-6-0)) then simply state that 'kinks' in the graphical representation can be straightened out:

$$
\left(\begin{array}{c} A \\ \hline \end{array}\right) A^* = A
$$

This is very intuitive; when working in monoidal categories with duals, it is often much easier to work with expressions graphically rather than symbolically, as the eye can easily spot simplifications.

The isomorphism ([5\)](#page-6-0) also has a straightforward implementation in the graphical representation. To obtain $S_{A,B,C}(f)$: $B \to C \otimes A^*$ from $f : A \otimes B \to C$, one simply 'bends around' the line representing the object *A*:

The inverse to the isomorphism bends the line around again, and by the 'straightening-out' rule this gives back the original morphism *f* .

There are many things we can achieve by bending lines. For example, for any morphism $h: A \rightarrow B$, we could bend around both the *A* line and the *B* line. This gives a morphism *h*[∗] : *B*[∗] → *A*[∗], which we call the *transpose* or *dual* of *h*. This operation is involutive, so *(h*[∗]*)*[∗] = *h*. It is also functorial: it defines a contravariant functor

$$
(-)^* : C^\text{op} \to C
$$

satisfying $((-)^*)^* = id_C$. We call this the *duality functor*. In our graphical representation, there is a simple way to represent the duality:

We 'straighten out the lines', rotating the junction box for *h* by 180◦ as we do so.

Given $h : A \rightarrow B$, we could also choose to bend around just the A line, giving a morphism $\lceil h \rceil$: $I \to B \otimes A^*$. We call this the *name* of *h*. Given a second morphism $k : B \to C$, we can perform the composition $k \circ h$ in terms of the names $\lceil h \rceil$ and $\lceil k \rceil$ by applying the equation $(id_C \otimes \theta_B \otimes id_{A^*}) \circ (\ulcorner k \urcorner \otimes \ulcorner h \urcorner) = \ulcorner k \circ h \urcorner$, which is simple to prove using the graphical representation:

$$
\begin{array}{c}\nC \otimes A^* \\
C \otimes B^* \otimes B \otimes A^* \\
C \otimes B^* \otimes B \otimes A^* = \boxed{k} \\
\uparrow k \otimes \ulcorner h \urcorner\n\end{array}\n\qquad\n\begin{array}{c}\nC \\
B^* \downarrow B \\
\hline\nh\n\end{array}\n\qquad\n\begin{array}{c}\nA^* \\
B \\
\hline\n\end{array}\n=\n\begin{array}{c}\nC \\
\downarrow h \urcorner h \urcorner\n\end{array}\n\qquad\n\begin{array}{c}\nA^* \\
C \otimes A^* \\
\hline\n\end{array}
$$

A compact-closed category is †*-compact-closed*, or is a †*-category with duals*, if there also exists a *conjugation functor*

$$
(-)_* : \mathbf{C} \to \mathbf{C} \tag{8}
$$

satisfying $((-)_*)_* = id_C$, which is compatible with the duality functor, in the sense that $((-)_*)^* = ((-)^*)_*$. This composite is then called the *adjoint functor* or *†*-*functor*, as de-scribed in Sect. [2.5](#page-4-0), and is denoted the following way:

$$
(-)^{\dagger} := ((-)^*)_*.
$$
 (9)

We extend our graphical notation in a standard way to represent *(*−*)*[∗] as flipping over a vertical axis, and changing the direction of arrows. A vertical-axis flip commutes with a 180[°] rotation to produce a horizontal-axis flip, and so we use a horizontal-axis flip along with a change of arrow direction to represent $(-)^{\dagger}$.

All of these structures appear in **FdHilb**. The dual of a Hilbert space *A* given by is its dual in the usual sense, the Hilbert space of bounded linear operators $A \to \mathbb{C}$. Given any basis *v_n* of *A*, we obtain a basis of *A*^{*} from the linear operators $v_n^* := (-, v_n)_A$, where $(-, -)_A$ is the inner product on *A*. We can then define the unit and counit as $\zeta_A = \sum_n v_n \otimes v_n^*$ and $\theta_A \circ (v_n^* \otimes v_m) = \delta_{nm}$ respectively. These are bounded linear operators, and so are valid morphisms in the category.² The transpose of a morphism is the matrix transpose in the

 2 Unfortunately, they are only bounded because the underlying Hilbert spaces are finite-dimensional. The infinite-dimensional case raises significant difficulties, which we discuss in Sect. [5.](#page-31-0)

the adjoint functor $(-)^{\dagger}$ as the familiar matrix conjugate-transpose operation. Given the existence of an isomorphism $A \simeq A^*$, we can interpret the unit $\zeta_A : I \to A \otimes A^*$ as preparation of a particular Bell entangled state, and the counit $\theta_A : A^* \otimes A \rightarrow I$ as performing a Bell measurement. As discussed in [[1](#page-38-0)], this allows use of the graphical calculus to aid design of quantum algorithms, such as the quantum teleportation protocol.

2.7 Internal Monoids and Comonoids

A conventional monoid is built from a set *S* of elements, along with a multiplication map $g : S \times S \rightarrow S$ where \times is the Cartesian product, and a unit map $u : 1 \rightarrow S$ where 1 is the one-element set. The associativity, unit, and commutativity laws can all then be phrased as categorical diagrams which *g* and *u* must satisfy.

This can be generalised: given any monoidal category **C**, we can replace the Cartesian product \times in this definition with the monoidal product \otimes , and the one-element set 1 with the monoidal unit object *I* . In a symmetric monoidal category, an *internal commutative monoid* (A, g, u) ₊ consists of an object *A*, a multiplication morphism $g : A \otimes A \rightarrow A$ and a unit morphism $u: I \rightarrow A$, which satisfy associativity, unit and commutativity diagrams. If the context is clear, we shall often just refer to them as monoids. We will use the following graphical representation for the multiplication and unit morphisms for a monoid:

Here, the vertical lines are all instances of the object *A*. In terms of this graphical representation, the associativity, unit and commutativity laws are as follows:

Associativity law

$$
\mathbf{A} = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \end{pmatrix} \tag{11}
$$

Unit laws

Commutativity law

The dual notion is an *internal comonoid*. An internal comonoid (A, h, v) consists of an object *A*, a comultiplication morphism $h: A \rightarrow A \otimes A$ and a counit morphism $v: A \rightarrow I$, such that these morphisms satisfy the coassociativity, counit and cocommutativity laws, which are just the associativity, unit and commutativity laws with the arrows reversed. The subscript \times for a comonoid and $+$ for a monoid is inspired by the behaviour of products and coproducts in category theory: an object together with its diagonal and terminal morphisms forms a comonoid, and an object together with its codiagonal and initial morphisms forms a monoid.

We require morphisms of internal monoids to preserve the multiplication and the unit, just as for homomorphisms of conventional monoids. Given a symmetric monoidal category **C**, and two internal commutative monoids (A, g, u) and (B, h, v) , a morphism $m: A \rightarrow B$ is a morphism of comonoids if and only if the following diagram commutes:

$$
A \otimes A \xrightarrow{m \otimes m} B \otimes B
$$
\n
$$
g \downarrow h
$$
\n
$$
A \xrightarrow{m} B
$$
\n
$$
B
$$
\n
$$
B
$$
\n
$$
I \xrightarrow{m} I
$$
\n
$$
(13)
$$

The dual definition, of a morphism of comonoids, is obtained by reversing all the arrows in this diagram. We use the notion of morphism of monoids to define C_+ , the category of internal commutative monoids in the symmetric monoidal category **C**, which has internal commutative monoids in **C** as objects and morphisms of monoids as arrows. We can similarly construct \mathbf{C}_\times , the category of internal cocommutative comonoids in \mathbf{C} . We note that this category has powerful universal properties, as described by Fox [\[5\]](#page-39-0).

We now look at this in the context of all the structure that we have developed, and consider a category C with the structure of a \dagger -category with biproducts. We can make the following observations about internal monoids and comonoids in **C**, all of which have reasonably simple proofs based on the contents of this section.

Firstly, using the \dagger -structure, it is clear that every monoid $(A, g, u)_+$ gives rise to a comonoid $(A, g^{\dagger}, u^{\dagger})_{\times}$. If $m : (A, g, u)_{+} \to (B, h, v)_{+}$ is a morphism of monoids, then the adjoint morphism m^{\dagger} : $(B, h^{\dagger}, v^{\dagger})$ _x \rightarrow $(A, g^{\dagger}, u^{\dagger})$ _x is a morphism of comonoids. It follows that the categories C_{\times} and C_{+} are opposite to each other.

We now consider the symmetric monoidal structure. We can use the structural isomorphisms to define a commutative comonoid on the monoidal unit:

$$
I_{\times} := (I, \lambda_I^{-1}, \mathrm{id}_I)_{\times}.
$$
\n(14)

For any comonoid $(A, g, u)_{\times}$, the only morphism of comonoids $(A, g, u)_{\times} \to I_{\times}$ is *u* itself; in other words, I_x is the terminal object in \mathbb{C}_x . In fact, the category \mathbb{C}_x has finite products, with binary product and projections defined as follows:

$$
(A, g, u) \times (B, h, v) \times \simeq (A \otimes B, (\mathrm{id}_A \otimes \mathrm{swap}_{A, B}^{\otimes} \otimes \mathrm{id}_B) \circ (g \otimes h), u \otimes v) \times
$$
\n
$$
p_{(A, g, u) \times} = \mathrm{id}_A \otimes v,
$$
\n
$$
p_{(B, h, v) \times} = u \otimes \mathrm{id}_B.
$$

The biproduct structure of **C** gives rise to an initial object in \mathbb{C}_{\times} . We can define a comonoid on the zero object in the following way:

$$
0_{\times} := (0, 0_{0,0\otimes 0}, 0_{0,I})_{\times}.
$$
\n(15)

For any comonoid $(A, g, u)_{\times}$, there is only one morphism of comonoids $0_{\times} \rightarrow (A, g, u)_{\times}$, given by the zero morphism $0_{0,A}$, and so 0_{\times} is the initial object in \mathbb{C}_{\times} . Also, there is no morphism $(A, g, u)_\times \to 0_\times$ unless $(A, g, u)_\times$ is isomorphic to 0_\times . If the tensor product distributes naturally over the biproduct in \mathbf{C} , then \mathbf{C}_\times will in fact have coproducts, but we will not describe these here.

The category C_{\times} therefore bears a clear resemblance to the structure of many categories of spaces, such as the category of sets: it is a category with distinct products and coproducts, such that the only object with a morphism to the initial object is the initial object itself. This gives us the motivation to think of C_{\times} as a category of spaces, and for all comonoids $(A, g, u)_{\times}$, to think of morphisms $I_{\times} \to (A, g, u)_{\times}$ in \mathbb{C}_{\times} as representing its *points*. In the special case of commutative comonoids which are dual to commutative C^* -algebras, this is a well-used construction: the points of the comonoid are precisely the elements of the spectrum of the C*-algebra.

If we can find both a comonoid structure (A, g, u) _x and a monoid structure (A, h, v) ₊ on the same object *A*, then we can consider the compatibility of the comonoid morphisms *g* and *u* with the monoid morphisms *h* and *v*. If *g* and *u* are both morphisms of monoids, then we say that the combined structure $(A, g, u, h, v)_{x+}$ is a *bialgebra*. This requires the definition of a monoid on $A \otimes A$; we choose this monoid to have unit given by $v \otimes v$, and multiplication given by $(h \otimes h) \circ (id_A \otimes swap_A^{\otimes} \otimes id_A)$. (This is equivalent to a similar specification of a comonoid on $A \otimes A$, and requiring that *h* and *v* be morphisms of comonoids.) Diagrammatically, the compatibility conditions that arise are as follows:

3 A Categorical Description of the Quantum Harmonic Oscillator

3.1 The Categorical Framework

We begin with our categorical description of the quantum harmonic oscillator.

Definition 3.1 Given a symmetric monoidal †-category **C** with finite †-biproducts, an *harmonic oscillator adjunction* $\langle Q, \eta, \epsilon \rangle$ is a right adjoint $Q : \mathbb{C} \to \mathbb{C}_{\times}$ for the forgetful functor $R: \mathbb{C}_{\times} \to \mathbb{C}$, with unit $\eta: id_{\mathbb{C}_{\times}} \to Q \circ R$ and counit $\epsilon: R \circ Q \to id_{\mathbb{C}}$, that has the following properties:

- 1. The functor *Q* preserves finite products unitarily;
- 2. The natural transformation ϵ^{\dagger} is an isometry at every stage; that is, $\epsilon \circ \epsilon^{\dagger} = id_{\text{ide}}$;
- 3. The endofunctors $R \circ Q : C \to C$ and $\dagger : C \to C$ commute.

For each object A in C, we interpret $Q(A)$ in C_\times as the *harmonic oscillator* constructed over *A*. Defining the endofunctor $F: \mathbb{C} \to \mathbb{C}$ as

$$
F := R \circ Q,\tag{16}
$$

we interpret $F(A)$ as the state space of the comonoid $Q(A)$, obtained by 'forgetting' the comultiplication and counit morphisms. States of $Q(A)$ are therefore given by non-zero morphisms $\phi: I \to F(A)$ in C, following the general framework of categorical quantum mechanics as discussed in Sect. [2.2.](#page-1-0) *F* can be thought of a generalised Fock space functor, or a generalised 'second quantisation' functor. We also see that the adjunction $R \dashv Q$ gives *F* the structure of a comonad.³

A related approach, developed in parallel to this work by another author [[4\]](#page-39-0) is to consider the comonad $(F, \epsilon, R\eta Q)$ as primary rather than the adjunction. This is a more general framework, but one in which the counit morphisms $R\eta_{(A,g,u)}$ will not be available for all commutative comonoids $(A, g, u)_{\times}$.⁴ We will make substantial use of these morphisms later in the paper, and so the current construction is more convenient for our purposes.

To work with the comultiplication and counit of each categorical harmonic oscillator *Q(A)* more easily, we make the following definition:

$$
(F(A), d_A, e_A)_\times := Q(A). \tag{17}
$$

We will explore in the next few sections of this paper how this structure, along with the unit and counit natural transformations, endows $Q(A)$ with many of the properties of a conventional quantum harmonic oscillator.

³This allows us to update the old adage: "First quantisation is a mystery, but second quantisation is a comonad."

⁴In terms of the comonad rather than the adjunction, morphisms corresponding to the $R\eta_{(A,g,u)}$ arise as *coalgebras* for the comonad. If there exists a coalgebra for every comonoid, then the category of coalgebras (the co-Eilenberg-Moore category) will be equivalent to the category of comonoids, and the comonad must arise from an adjunction in precisely the sense of Definition 3.1.

Before we go any further, we must make clear what it means in Definition [3.1](#page-12-0) for *Q* to preserve products unitarily. Products in **C** are given by the \ddagger -biproduct structure, and in \mathbf{C}_\times they are given by the underlying tensor product in **C**, as discussed in Sect. [2](#page-1-0):

$$
(A, g, u)_\times \times (B, h, v)_\times \simeq (A \otimes B, (\mathrm{id}_A \otimes \mathrm{swap}_{A,B}^\otimes \otimes \mathrm{id}_B) \circ (g \otimes h), u \otimes v)_\times.
$$

As *Q* is a right adjoint it must preserve finite products up to unique natural isomorphism, and therefore for all A and B in C there exist in C_{\times} unique natural isomorphisms

$$
k_{A,B}: Q(A \oplus B) \to Q(A) \times Q(B), \tag{18}
$$

$$
k_0: Q(0) \to I_{\times} \tag{19}
$$

which make the following diagrams commute:

$$
Q(A) \xleftarrow{Q(\pi_A)} Q(A \oplus B) \xrightarrow{Q(\pi_B)} Q(B) \qquad Q(0)
$$

\n
$$
\downarrow k_{A,B}^{-1} \downarrow k_{A,B} \qquad \qquad \downarrow k_0^{-1} \downarrow k_0
$$

\n
$$
Q(A) \xleftarrow{\text{id}_{Q(A)} \times e_B} Q(A) \times Q(B) \xrightarrow{e_A \times \text{id}_{Q(B)}} Q(B) \qquad I_{\times}
$$

We include the trivial right-hand diagram for completeness. For *Q* to preserve products unitarily means that the natural isomorphisms $k_{A,B}$ and k_0 are unitary, when viewed as morphisms in **C** using the forgetful functor *R*:

$$
Rk_{A,B}^{-1} = (Rk_{A,B})^{\dagger}, \qquad Rk_0^{-1} = (Rk_0)^{\dagger}.
$$

We can use the natural isomorphisms $k_{A,B}$ and k_0 to obtain explicit expressions for the comultiplication d_A and counit e_A associated to each categorical harmonic oscillator $Q(A)$.

Lemma 3.2 *For all objects A in* **C**, *we have*

$$
e_A = Rk_0 \circ F(0_{A,0}), \tag{20}
$$

$$
d_A = Rk_{A,A} \circ F(\Delta_A) \tag{21}
$$

where we view e_A and d_A *as morphisms in* **C** *rather than* \mathbf{C}_{\times} .

Proof Since terminal morphisms are unique, and terminal objects are preserved by *Q*, (20) must hold automatically. The unit law for $Q(A)$ can be reinterpreted as the expression that d_A is the diagonal for the object $Q(A)$ in \mathbb{C}_{\times} ; in other words, $d_A = \langle id_{Q(A)}, id_{Q(A)} \rangle$ in C_{\times} . It must therefore be related by $c_{A,A}$ to the image under Q of the diagonal $\Delta_A := \langle id_A, id_A \rangle$ in **C**, implying (21).

In fact, the commutative comonoids in the image of the functor *Q* have more structure than is immediately apparent; as discussed in [\[4\]](#page-39-0), they are bialgebras, for which the multiplication and unit morphisms are given by the adjoint of the comultiplication and counit in **C**.

Lemma 3.3 (Fiore) *For each object A in* **C**, $(F(A), d_A, e_A, d_A^{\dagger}, e_A^{\dagger})_{\times +}$ *is a bialgebra.*

Proof In a category with biproducts, the diagonal and codiagonal along with terminal and initial morphisms form a bialgebra with respect to the monoidal structure of the biproduct, as can be checked by working through all of the necessary diagrams. This bialgebraic structure is inherited by d_A , e_A and their adjoints, since they are formed 'naturally' from the biproduct structure in C , the unitary isomorphisms $k_{A,B}$ and k_0 translating between the biproduct and tensor product structures.

We can also prove that the comultiplication d_A is *additive*, in the following sense.

Lemma 3.4 *For all morphisms* $f, g: A \rightarrow B$ *in* **C**,

$$
F(f+g) = d_B^{\dagger} \circ (F(f) \otimes F(g)) \circ d_A \tag{22}
$$

where the sum $f + g$ *is defined by the* \ddagger *-biproduct structure.*

Proof Using naturality of $c_{A,A}$ and compatibility of *F* with $(-)^{\dagger}$, we obtain:

Although the natural transformations e and ϵ are not directly related by any equations in the construction of the harmonic oscillator adjunction, they nevertheless automatically satisfy some compatibility conditions.

Lemma 3.5 The natural transformations ϵ and e are normalised and orthogonal; that is, at *every stage A*,

$$
\epsilon_A \circ \epsilon_A^{\dagger} = \mathrm{id}_A,\tag{23}
$$

$$
e_A \circ e_A^{\dagger} = \mathrm{id}_I,\tag{24}
$$

$$
e_A \circ \epsilon_A^{\dagger} = 0_{A,I}.\tag{25}
$$

Proof Equation ([23](#page-14-0)) holds by construction, since it was a requirement in Definition [3.1](#page-12-0) of a harmonic oscillator adjunction. To tackle (24) , we use Lemma [3.2](#page-13-0) to write e_A in terms of k_0 ; we then obtain

$$
e_A \circ e_A^{\dagger} = Rk_0 \circ F(0_{A,0}) \circ F(0_{0,A}) \circ (Rk_0)^{\dagger}
$$

= $Rk_0 \circ F(0_{0,0}) \circ (Rk_0)^{\dagger}$
= $Rk_0 \circ id_{F(0)} \circ (Rk_0)^{\dagger}$
= id_I,

where we have employed unitarity of Rk_0 . For (25) , we have

$$
e_A \circ \epsilon_A^{\dagger} = Rk_0 \circ F(0_{A,0}) \circ \epsilon_A^{\dagger}
$$

= $Rk_0 \circ \epsilon_0^{\dagger} \circ 0_{A,0}$
= $0_{A,I}$,

where we use naturality of ϵ^{\dagger} and the fact that only zero morphisms can factor through zero morphisms. \Box

In fact, as is well-known in other contexts [[2\]](#page-39-0), we can always write $k_{A,B}$ directly in terms of *e* and ϵ in the following way.

Lemma 3.6 *Under the canonical hom-set isomorphism*

 $H_{Q(A)\times Q(B),A\oplus B}$: Hom_c $(F(A)\otimes F(B),A\oplus B)\simeq$ Hom_{c_×} $(Q(A)\times Q(B),Q(A\oplus B))$

induced by the harmonic oscillator adjunction, *the family of morphisms in* **C** *given by*

$$
r_{A,B} := i_A \epsilon_A \otimes e_B + e_A \otimes i_B \epsilon_B,
$$

where i_A *and* i_B *are canonical injections into the biproduct* $A \oplus B$ *, produce the morphisms* $k_{A,B}^{-1}$ *in* \mathbf{C}_{\times} ; *that is, for all A and B in* **C**,

$$
k_{A,B}^{-1} = H_{Q(A)\times Q(B),A\oplus B}(r_{A,B}).
$$
\n(26)

Proof The morphisms $H_{Q(A)\times Q(B),A\oplus B}(r_{A,B})$ defined in this manner are clearly well-defined morphisms of comonoids. We must show that they mediate between the product structures in \mathbf{C}_\times ; it suffices to show that for any *A* and *B*, $Q(i_A^{\dagger}) \circ k_{A,B}^{-1} = id_{Q(A)} \times e_B$. These are morphisms in Hom_C_x $(Q(A) \times Q(B), Q(A))$, and we apply the hom-set isomorphism once again to view them as morphisms in $Hom_C(F(A) \otimes F(B), A)$. We obtain

$$
\epsilon_A \circ F(i_A^{\dagger}) \circ R(H_{Q(A)\times Q(B),A\oplus B}(r_{A,B}))
$$

\n
$$
= \epsilon_A \circ F(i_A^{\dagger}) \circ F(i_A \epsilon_A \otimes e_B + e_A \otimes i_B \epsilon_B) \circ R\eta_{Q(A)\times Q(B)}
$$

\n
$$
= \epsilon_A \circ F(\epsilon_A \otimes e_B) \circ R\eta_{Q(A)\times Q(B)}
$$

\n
$$
= (\epsilon_A \otimes e_B) \circ \epsilon_{F(F(A)\otimes F(B))} \circ R\eta_{Q(A)\times Q(B)}
$$

\n
$$
= \epsilon_A \otimes e_B.
$$

But this is equal to $\epsilon_A \circ R(\mathrm{id}_{Q(A)} \times e_B)$, and so the product-preservation equation holds. \Box

We can use this to prove another very useful result.

Lemma 3.7 *At any stage A, we can write* $\epsilon_A \circ d_A^{\dagger}$: $F(A) \otimes F(A) \rightarrow A$ *as*

$$
\epsilon_A \circ d_A^{\dagger} = \epsilon_A \otimes e_A + e_A \otimes \epsilon_A. \tag{27}
$$

Proof Using Lemmas [3.2](#page-13-0) and [3.6,](#page-15-0) along with naturality of ϵ and one of the adjunction equations, we obtain

$$
\epsilon_A \circ d_A^{\dagger} = \epsilon_A \circ F(\nabla_A) \circ F(i_A \epsilon_A \otimes e_A + e_A \otimes i_A \epsilon_A) \circ R \eta_{Q(A) \times Q(A)}
$$

= $\epsilon_A \circ F(\epsilon_A \otimes e_A + e_A \otimes \epsilon_A) \circ R \eta_{Q(A) \times Q(A)}$
= $(\epsilon_A \otimes e_A + e_A \otimes \epsilon_A) \circ \epsilon_{F(F(A) \otimes F(A))} \circ R \eta_{Q(A) \times Q(A)}$
= $\epsilon_A \otimes e_A + e_A \otimes \epsilon_A$.

3.2 Graphical Representation

We will develop a graphical representation for the extra structure associated with a categorical harmonic oscillator, which will help us to prove theorems more easily. The most basic structure is the functor $F : \mathbb{C} \to \mathbb{C}$. We represent it as a pair of dashed lines, one on each side of its argument, for its action on both objects and morphisms. Functoriality of *F* then means that graphical components within the dashed lines can be manipulated as if the dashed lines were not there; *F* acts as an 'inert container'. This principle is illustrated in the following diagram, which holds for all $f : A \rightarrow B$ and $g : B \rightarrow C$ in C:

To represent the comultiplication d_A and counit e_A graphically for each comonoid $Q(A)$, we extend the graphical representation for comonoids which we developed in Sect. [2.](#page-1-0) The graphical components that we will use are as follows, defined for all objects *A* in **C**:

As we have done here, we will often not annotate our diagrams whenever this does not introduce ambiguities. We will also frequently work with the adjoints d_A^{\dagger} and e_A^{\dagger} without remark; following the conventions laid out in Sect. [2,](#page-1-0) these are given by flipping the diagrams along

a horizontal axis, and then reversing the orientation of the arrows. Of course, these graphical components obey the dual versions of the associativity, unit and commutativity laws ([10](#page-9-0)), ([11](#page-9-0)) and [\(12\)](#page-9-0), as well as the bialgebra laws as discussed in Lemma [3.3](#page-14-0).

Finally, we introduce the graphical representations for the unit and counit natural transformations. For each stage

$$
\eta_{(A,g,u)_\times} : (A, g, u)_\times \to Q(A)
$$

$$
\epsilon_A : F(A) \to A,
$$

we employ the following diagrams:

The graphical representation of ϵ_A features an unblocked 'mouth' for the dashed lines. This is an intuitive way to represent of the naturality of ϵ , which can be written algebraically as $\epsilon_B \circ F(f) = f \circ \epsilon_A$ for any $f : A \to B$. In other words, graphical components can be freely moved across e_A , into and out of the functor F , traversing the 'mouth' of the dashed lines:

For $R\eta_{(A,g,u)}$, however, we mark the end of the functor F by a double line; this is 'harder' for graphical components to cross, as naturality of *η* implies that only morphisms of comonoids may pass.

Finally, there are compatibility equations satisfied by the natural transformations η and ϵ which define the adjunction. We summarise these here, along with their graphical representations.

These are the graphical representations for arbitrary stages *A* and (A, g, u) _x respectively. These adjunction equations are of course entirely category-theoretical, but they will prove essential to the physics, allowing us to demonstrate in Theorem [3.13](#page-25-0) that coherent states are eigenstates of the lowering operators, and in Theorem [4.9](#page-30-0) that the coherent state can be written as the exponential of the raising operator.

3.3 Raising and Lowering Morphisms

In this section, we will construct generalised raising and lowering operators associated to the categorical harmonic oscillators.

For all morphisms $f : A \to B$ in C, $Q(f) : Q(A) \to Q(B)$ is a morphism of comonoids, and so $RQ(f) = F(f)$ must satisfy the following diagram drawn in C:

$$
F(A) \otimes F(A) \xrightarrow{F(f) \otimes F(f)} F(B) \otimes F(B)
$$
\n
$$
F(A) \xrightarrow{F(f)} F(B) \xrightarrow{f(B)} F(B)
$$
\n
$$
e_A \downarrow e_B
$$
\n
$$
I = I
$$
\n(30)

However, this is exactly the condition for d_A and e_A to be the stages of natural transformations

$$
d: F \to F \otimes F,
$$

$$
e: F \to \mathbf{C}_I
$$

where $C_l : C \to C$ is the functor that sends all objects in C to the monoidal identity object *I*, and all morphisms to id_I. We therefore have four basic natural transformations, arising from the comonoid structure and from the adjunction, which we can summarise using the following diagram:

$$
F \otimes F
$$
\nComonoid

\n
$$
C_I \leftarrow e
$$
\n
$$
F \xrightarrow{R \eta Q} FF
$$
\n
$$
\downarrow e
$$
\nAdjunction

\n
$$
id_C
$$
\n
$$
(31)
$$

We will define some new natural transformations, and use them to define the raising and lowering morphisms.

Definition 3.8 The *lowering natural transformation* $a: F \rightarrow F \otimes id_C$ is defined as the following composite natural transformation:

The *raising natural transformation* a^{\dagger} : $F \otimes id_{\mathbb{C}} \rightarrow F$ is the adjoint to this.

Definition 3.9 The *lowering morphism* $a_{\phi}: F(A) \rightarrow F(A)$ associated to the state $\phi: I \to F(A)$ is defined as follows:

The *raising morphism* $a_{\phi}^{\dagger} : F(A) \rightarrow F(A)$ is the adjoint to this.

The raising and lowering morphisms can perhaps be understood more intuitively by their graphical representations:

We apply the raising morphism a^{\dagger}_{ϕ} to $F(A)$ by creating a new state ϕ of A, embedding it into $F(A)$, then multiplying with our original instance of $F(A)$. To lower with respect to ϕ , we apply the adjoint process: comultiplying, then extracting the single-particle state from one of the legs and taking the inner product with *φ*.

A cornerstone of the conventional analysis of the quantum harmonic oscillator is the set of canonical commutation relations, or CCRs:

$$
\left[a_{\phi}, a_{\psi}^{\dagger}\right] \subset (\phi, \psi) \text{ id}, \qquad \left[a_{\phi}^{\dagger}, a_{\psi}^{\dagger}\right] \subset 0, \qquad \left[a_{\phi}, a_{\psi}\right] \subset 0
$$

The subset symbols indicate equality on the domains of the expressions on the left-hand sides. We will show that appropriate categorical versions of these equations hold in our construction.

Theorem 3.10 *For all objects A in* **C**, *and all states* ϕ , ψ : *I* \rightarrow *A*, *the following commutation relations hold*:

$$
a_{\phi} \circ a_{\psi}^{\dagger} = a_{\psi}^{\dagger} \circ a_{\phi} + (\phi^{\dagger} \circ \psi) \cdot \mathrm{id}_{F(A)},\tag{34}
$$

$$
a_{\phi}^{\dagger} \circ a_{\psi}^{\dagger} = a_{\psi}^{\dagger} \circ a_{\phi}^{\dagger},\tag{35}
$$

$$
a_{\phi} \circ a_{\psi} = a_{\psi} \circ a_{\phi}.
$$
 (36)

We note that the domain issue in the conventional case is not relevant here; indeed, it is not even expressible. Also, we have rearranged the equations to some extent, as we will not in general have a way to express negatives in our category.

Proof Given the definitions of the categorical raising and lowering morphisms, (35) and (36) follow straightforwardly from cocommutativity and coassociativity of the comonoid *Q(A)*. We demonstrate this using the graphical representation for the a^{\dagger} commutation relation; the proof for *a* is analogous.

Our proof of equation [\(34\)](#page-20-0) is adapted from [\[4](#page-39-0)]. We employ Lemmas [3.3](#page-14-0), [3.5](#page-14-0) and [3.7](#page-16-0), and work at an arbitrary stage *A* in **C** of the raising and lowering natural transformations.

 \Box

3.4 Physical Interpretation and Path-Counting

For each object *A* in **C**, *F(A)* is the generalised Fock space over *A*. We interpret the state e^{\dagger}_A : $I \rightarrow F(A)$ as containing no 'particles'; we think of it as the 'vacuum state'. The morphism ϵ_A^{\dagger} : $A \to F(A)$ injects the 'single-particle space' into $F(A)$; if a state of $F(A)$ factors through this arrow, then we say that it is a 'single-particle state'. We make this interpretation not only because it mirrors the particle interpretation for Fock space in quantum field theory; in fact, we will argue that it fits naturally with the extra structure that we have available.

The multiplication d_A^{\dagger} : $F(A) \otimes F(A) \rightarrow F(A)$ is interpreted as combining the states of two identical physical systems, to produce a state of a single physical system. Applying the adjoint, we obtain $d_A: F(A) \to F(A) \otimes F(A)$, which we interpret as constructing the superposition of every possible way of splitting the state of the system between two systems, or alternatively, of splitting the particle content of the system between two systems. The identity $d_A \circ \epsilon_A^{\dagger} = \epsilon_A^{\dagger} \otimes \epsilon_A^{\dagger} + \epsilon_A^{\dagger} \otimes \epsilon_A^{\dagger}$ now becomes transparent: splitting a single-particle state between two systems can be done in one of two ways, placing the single particle in either the first or second system, the other system being left in the vacuum state.

An even more concrete physical analogy is to think of $d_A : F(A) \to F(A) \otimes F(A)$ as a *beamsplitter*, a half-silvered mirror which splits an obliquely incident stream of photons into two separate outgoing beams, one which passes through the mirror and one which reflects from it. In general, these outgoing beams will be entangled with one another, in a state which, in an appropriate sense, is a superposition of all of the possible ways that the photon content of the incident beam could be distributed between the two outgoing beams.

We will see that this 'particle interpretation' of the components of the categorical structure gives rise to a useful algorithm for evaluating a large class of morphism compositions. The following diagrams must hold in our framework, the first by additivity of the comultiplication and the second by functoriality of *F*:

$$
\left(\frac{n}{n}\right)^{n}\right)_{m} = \left|\begin{array}{c}\n1\\ n+m \\
\hline\n1\\ n\end{array}\right|_{n} \tag{37}
$$

The symbols *n* and *m* are scalars $I \rightarrow I$ in C, and $n + m$ is their sum as defined by the biproduct structure. The scalars are understood to be evaluated *inside* the functor *F*, in the sense $F(n \cdot id_A)$. We can evaluate these diagrams by imagining a single, classical particle passing along them, in the direction of the arrows. For each possible route that the particle could take, we calculate a total amplitude for that route by composing the scalars *m* or *n* through which the particle passes. The total amplitude w for the process is the sum of the amplitudes for each of the different routes, and the value for the diagram is $F(w \cdot id_A)$. Diagram (37) has two paths, contributing amplitudes *n* and *m* respectively; diagram (38) has one path, contributing an amplitude *n* ◦ *m*.

This can be considered an application of the *path-integral* approach to quantum mechanics: the amplitude for a quantum process is a given by a sum of the amplitudes for each of the classical ways that the process could be completed. The novel aspect here is that the branching point at which the classical particle must make an exclusive choice is an explicit part of the theory, represented by the comultiplication morphisms d_A .

With this evaluation algorithm in mind, we would expect the following diagrams to evaluate to the same morphism, since in each case there is only one path from each 'in' leg to each 'out' leg:

But this is precisely one of the axioms of a bialgebra, which we have already established in Lemma [3.3.](#page-14-0)

We can now appreciate the difficulties that would be introduced here if our category had duals. In that case, we would be able to construct closed loops, and there could be an infinite number of paths by which to navigate a finite diagram in our category. However, lacking infinite scalars in general, we would not be able to make sense of this.

3.5 Coherent States

The harmonic oscillator adjunction $R \dashv Q$ implies particular isomorphisms of hom-sets, by the basic definition of an adjunction. Specifically, for all (A, g, u) in \mathbb{C}_{\times} and all *B* in **C** we have the following natural isomorphism of sets:

$$
H_{(A,g,u)_\times,B} : \text{Hom}_\mathbb{C}(A,B) \simeq \text{Hom}_{\mathbb{C}_\times}((A,g,u)_\times, Q(B)). \tag{40}
$$

We recall the explicit definition of this isomorphism in terms of the unit and counit of the adjunction. If morphisms $f : A \to B$ in **C** and $f' : (A, g, u) \times \to Q(B)$ in \mathbb{C}_\times are related by the isomorphism, then we must have the following:

$$
H_{(A,g,u)_\times,B}(f) := Q(f) \circ \eta_{(A,g,u)_\times} = f',\tag{41}
$$

$$
H_{(A,g,u)_\times,B}^{-1}(f') := \epsilon_B \circ R(f') = f.
$$
 (42)

Generally, objects *A* in **C** do not have a natural cocommutative comonoid structure, so given only an $f : A \to B$ there will be no canonical choice of an (A, g, u) _x with which to implement equation (41), producing a morphism of comonoids in \mathbf{C}_\times . But there are exceptions: in particular, the monoidal unit object *I* in **C** has a natural cocommutative comonoid structure $I_{\times} := (I, \lambda_I^{\dagger}, id_I)$, which is the terminal object in \mathbb{C}_{\times} as discussed in Sect. [2](#page-1-0).

Making this natural choice of I_{\times} for the comonoid on *I*, we can establish the following isomorphism for all objects *A* in **C**:

$$
H_{I_{\times},A}
$$
: Hom_C (I, A) \simeq Hom_{C_{\times}} $(I_{\times}, Q(A))$.}

 \mathcal{D} Springer

If we interpret elements of $Hom_C(I, A)$ as states of A, then this tells us that there is an isomorphism between states of A in C and points of the comonoid $Q(A)$ in \mathbb{C}_{\times} . We can calculate the morphisms of comonoids to which these points correspond: given a state $\phi: I \to A$ in C , we use (41) (41) (41) to obtain a composite morphism

$$
H_{I_{\times},A}(\phi) = I_{\times} \xrightarrow{\eta_{I_{\times}}} (F(I), d_I, e_I) \xrightarrow{\mathcal{Q}(\phi)} (F(A), d_A, e_A).
$$

We interpret this as a generalised coherent state.

Definition 3.11 The *coherent state* Coh(ϕ) : $I \rightarrow F(A)$ associated to the single-particle state $\phi: I \to A$ is given by⁵

$$
Coh(\phi) := F(\phi) \circ R\eta_{I_{\times}}.
$$
\n(43)

We make this interpretation because states of this form have the properties that we expect from coherent states: they can be copied and deleted, and they are eigenstates of the lowering morphisms.

Theorem 3.12 *Coherent states are copied and deleted by the comultiplication and counit morphisms defined by the harmonic oscillator adjunction*, *as described by the following equations, which hold for all objects A and all states* $\phi: I \rightarrow A$:

$$
d_A \circ \text{Coh}(\phi) = \text{Coh}(\phi) \otimes \text{Coh}(\phi),\tag{44}
$$

$$
e_A \circ \text{Coh}(\phi) = \text{id}_I. \tag{45}
$$

Proof To prove equation (44), we use the fact that $F(\phi)$ and $R\eta_1$ are morphisms of comonoids.

$$
I \xrightarrow{\text{Coh}(\phi)} F(A) \xrightarrow{\text{d}_A} F(A) \otimes F(A)
$$
\n
$$
\downarrow
$$
\n
$$
F(I) \xrightarrow{\text{d}_I} F(I) \xrightarrow{\text{d}_I} F(I) \otimes F(I) \xrightarrow{\text{d}_I} F(A) \otimes F(A)
$$
\n
$$
\downarrow
$$
\n
$$
I \xrightarrow{\text{d}_0 = \lambda_I^{\dagger}} I \otimes I \xrightarrow{\text{R}\eta_{I_x} \otimes R\eta_{I_x}} F(I) \otimes F(I)
$$
\n
$$
\text{Coh}(\phi) \otimes \text{Coh}(\phi) \xrightarrow{\text{Coh}(\phi)} F(A) \otimes F(A)
$$
\n
$$
F(A) \otimes F(A)
$$
\n
$$
\downarrow
$$
\n
$$
F(A) \otimes F(A)
$$

For (45), we note that $e_A \circ \text{Coh}(\phi) = e_A \circ F(\phi) \circ R\eta_{I_{\gamma}}$ is in the image of the hom-set $Hom_{\mathbb{C}_{\times}}(I_{\times}, I_{\times})$ under the functor $R: \mathbb{C}_{\times} \to \mathbb{C}$. But I_{\times} is terminal, and functoriality of *R* then implies $e_A \circ \text{Coh}(\phi) = id_I$.

⁵We note that this construction can still be made in the case of a model of linear logic on a category with biproducts, such as that described in [[4\]](#page-39-0), where in place of $R\eta_{I_v}$ we have δ_0 : $F(0) \simeq I \rightarrow FF(0) \simeq F(I)$, where δ : $F \rightarrow F F$ is the comultiplication for the comonad.

Theorem 3.13 *Coherent states are eigenstates of the lowering morphisms*, *satisfying the equation*

$$
a_{\psi} \circ \text{Coh}(\phi) = (\psi^{\dagger} \circ \phi) \cdot \text{Coh}(\phi). \tag{46}
$$

Proof The theorem is proved by the following commuting diagram. In order, we employ that $F(\phi)$ is a morphism of comonoids, that η_L is a morphism of comonoids, the construction of the $H_{I \times A}$ isomorphism, and the properties of the symmetric monoidal structure.

We also give the same proof using the graphical calculus. In this form, it is much more readable, although it has exactly the same content as the previous symbolic proof. Here, the double horizontal parallel lines all represent the morphism $R\eta_L : I \to F(I)$. The explicit use of the adjunction equation (29) is very clear here.

$$
\begin{pmatrix}\n\psi^{\dagger} & \cdots & \psi^{\dagger} & \vdots \\
\vdots & \ddots & \ddots & \vdots \\
\vdots & \ddots & \
$$

4 Exponential Structures

4.1 Construction

Let **C** be a symmetric monoidal †-category with †-biproducts. We will see how a harmonic oscillator adjunction $\langle Q, \eta, \epsilon \rangle$ can be used to create exponentials of elements of commutative monoids in **C**; we will use commutative monoids (A, g, u) to convert states $\phi : I \to A$ into exponential states $\exp_{(A,g,\mu)_+}(\phi): I \to A$.

Since we will often find ourselves needing to work with commutative monoids as well as cocommutative comonoids, we first introduce some new notation.

Definition 4.1 Given a free cocommutative comonoid functor $Q: \mathbb{C} \to \mathbb{C}_{\times}$, we define the associated free commutative monoid functor to be $Q_+ : \mathbb{C} \to \mathbb{C}_+$ obtained using the †-functor. It is therefore natural to refer to Q itself as Q_{\times} . We also define the associated forgetful functors $R_\times : \mathbb{C}_\times \to \mathbb{C}$ and $R_+ : \mathbb{C}_+ \to \mathbb{C}$. The adjunction $R_\times \to Q_\times$ with unit and counit η^{\times} and ϵ^{\times} induces an adjunction Q_{+} + R_{+} with unit and counit ϵ^{+} and η^{+} , where for all monoids $(A, g, u)_{+}$ and **C**-objects $A, R_{+} \eta_{(A,g,u)_{+}}^{+} = (R_{\times} \eta_{(A,g^{\dagger}, u^{\dagger})_{\times}}^{\times})^{\dagger}$ and $\epsilon_{A}^{+} = (\epsilon_{A}^{\times})^{\dagger}$.

Definition 4.2 Given a commutative monoid (A, g, u) ₊ in **C**, and a state $\phi : I \to A$ in **C**, the *exponential* $\exp_{(A,g,u)+}(\phi): I \to A$ in C is defined in the following way, where we give both the diagrammatic and graphical representations:

$$
R_{\times} \eta_{I_{\times}}^{\times}
$$
\n
$$
F(I) \xrightarrow{exp_{(A,g,u)+}(\phi)} F(A) \xrightarrow{A} R_{+} \eta_{(A,g,u)+}^{+} R_{+} \eta_{(A,g,u)+}^{+}
$$
\n
$$
F(I) \xrightarrow{R_{\times} \eta_{I_{\times}}^{\times}} F(A) \xrightarrow{R_{\times} \eta_{I_{\times}}^{\times}} R_{+} \eta_{I_{\times}}^{+}
$$
\n
$$
(47)
$$

The state ϕ is evaluated 'inside a box' built from the categorical structure. Intuitively, we can think of $exp_{(A,g,u)_+}(\phi)$ as being given by the infinite sum

$$
\exp_{(A,g,u)_+}(\phi) = \left(\frac{1}{0!} \cdot u\right) + \left(\frac{1}{1!} \cdot \phi\right) + \left(\frac{1}{2!} \cdot g \circ (\phi \otimes \phi)\right) + \left(\frac{1}{3!} \cdot g \circ (g \otimes id_A) \circ (\phi \otimes \phi \otimes \phi)\right) + \cdots,
$$
 (48)

as will in fact be the case in a suitable category of Hilbert spaces. The correspondence with the conventional notion of exponential is very clear.

This construction has several nice properties. First, we demonstrate that these exponentials behave well under composition, and that the unit for the monoid is given by the exponential of the zero morphism.

Lemma 4.3 *Exponentials of elements of commutative monoids compose additively*; *that is*, *for morphisms* ϕ , ψ : *I* \rightarrow *A and* $(A, g, u)_+$,

$$
g^{\dagger} \circ (\exp(\phi) \otimes \exp(\psi)) = \exp(\phi + \psi) \tag{49}
$$

where the exponentials are defined with respect to the monoid (A, g, u) ₊, *and* $\phi + \psi$ *is defined by the biproduct structure in the underlying category*.

Proof We can prove the lemma in a straightforward way using the graphical representation. We employ that $R_{\times} \eta_{I_{\times}}^{\times}$ is a morphism of comonoids, that $R_{+} \eta_{(A,g,u)_{+}}^{+}$ is a morphism of monoids, and the additivity of the comultiplication for comonoids in the image of *Q*.

Lemma 4.4 *For all monoids* $(A, g, u)_+$ *, we have*

$$
\exp_{(A,g,u)_+}(0_{I,A}) = u. \tag{50}
$$

Proof We demonstrate the lemma with the following diagram. We introduce the identity in the form $Rk_0^{-1} \circ Rk_0$, and employ the fact that I_\times is terminal in \mathbb{C}_\times , the definition of e_A^{\dagger} in terms of $F(0_{I,0})$, and the fact that $R_+ \eta^+_{(A,g,u)_+}$ is a morphism of monoids.

Finally, we demonstrate that the morphism exponential construction is natural in the following sense.

Lemma 4.5 *For any pair of commutative monoids* (A, g, u) ₊ *and* (B, h, v) ₊*, and a morphism of monoids* $m:(A, g, u)_+ \to (B, h, v)_+$, *the following naturality condition holds for* $all \phi: I \rightarrow A$:

$$
\exp_{(B,h,v)_+}(m \circ \phi) = m \circ \exp_{(A,g,u)_+}(\phi). \tag{51}
$$

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Proof Straightforward, from naturality of *η*. □

Intuitively, this implies that the exponential construction is only sensitive to the smallest submonoid containing the element to be exponentiated.

Given an element α_N of a monoid $(N, k, s)_{n+}$, where the subscript 'n' stands for notnecessarily-commutative, we cannot apply this exponential construction directly, as the counit η^+ associated to the harmonic oscillator adjunction is only defined for commutative monoids. However, inspired by Lemma [4.5](#page-27-0), if we have some commutative monoid $(A, g, u)_+$ and a morphism of monoids $m : (A, g, u)_+ \to (N, k, s)_{n+}$ such that for some $\alpha_A : I \to A$ we have *m* $\circ \alpha_A = \alpha_N$, then we may define

$$
\exp_{(M,k,s)_n+}(\alpha_N) := m \circ \exp_{(A,g,u)_+}(\alpha_A). \tag{52}
$$

We calculate the exponential using the commutative monoid, and embed the result into *N* by using the compatible morphism of monoids *m*.

4.2 Endomorphism Exponentials

A common application of exponentials in functional analysis is to construct the exponential of an operator on a Hilbert space, defined using the familiar power series expansion. The analogue in our setting is to construct the exponential of an endomorphism $f : A \rightarrow A$.

To apply the generalised exponential construction which we have just developed, it seems that we would require a monoid which 'knows' about arrow composition. Such a monoid is canonically present if our category has duals, 6 as defined in Sect. [2.6.](#page-6-0)

Definition 4.6 In a monoidal category with duals, the *endomorphism monoid* \bigcirc_{n+}^{A} on an object *A* is defined in the following way:

$$
\bigcirc_{n+}^A := (A \otimes A^*, \mathrm{id}_A \otimes \theta_A \otimes \mathrm{id}_{A^*}, \zeta_A)_{n+}.
$$

Intuitively, elements of the monoid are names of endomorphisms on *A*, multiplication is endomorphism composition, and the unit for the monoid is the name of the identity morphism. This monoid will not be commutative in general.

We use this monoid to define endomorphism exponentials.

Definition 4.7 In a symmetric monoidal \dagger -category with duals and \dagger -biproducts, and a harmonic oscillator adjunction, the name of the *endomorphism exponential* of an arbitrary endomorphism $f : A \rightarrow A$ is given by

$$
\ulcorner \exp(f)\urcorner := \exp_{\bigcirc_{n+}^A} (\ulcorner f \urcorner), \tag{53}
$$

where the exponential over a noncommutative monoid is defined by (52).

To apply this definition for a particular endomorphism, we would need to find a commutative monoid equipped with a morphism of monoids to \bigcirc_{n+}^A through which the name of our

⁶This is no great surprise: a monoidal category has duals if, seen as a 2-category in a particular canonical way, each 1-morphism has a categorical adjoint. But each such adjunction then induces a monad in the familiar way, and this monad is precisely the monoid that we define here.

endomorphism factors. Although this is not straightforward, it intuitively seems likely that each endomorphism should generate its commutative monoid under self-composition, and that this generated monoid should embed canonically into the full endomorphism monoid.

In fact, every monoid has a useful canonical embedding into an endomorphism monoid, by the following construction.

Lemma 4.8 *In a monoidal category with duals, any monoid* $(N, k, s)_{n+}$ *has a canonical monic embedding* $m_{(N,k,s)_{n+}} : (N, k, s)_{n+} \to \bigcirc_{n+}^{N}$ *into the endomorphism monoid on* N, *given by*

$$
m_{(N,k,s)_{n+}} := (k \otimes id_{N^*}) \circ (id_N \otimes \zeta_N). \tag{54}
$$

Note that, in particular, commutative monoids will also have such an embedding.

Proof The embedding has the following graphical representation:

Intuitively, each element of the monoid $(N, k, s)_{n+}$ is taken to the name of the endomorphism of *A* which performs multiplication by that element. It is simple to demonstrate that $m_{(N,k,s)_{n+}}$ is monic, since it has a retraction: $(id_N \otimes s^*) \circ m_{(N,k,s)_{n+}} = id_N$. However, this retraction will not be a morphism of monoids in general.

We first show that $m_{(N,k,s)_n+$ preserves multiplication. We must demonstrate that the following diagram commutes:

Using the graphical representation, it can be seen that this holds, using the dual equations and associativity⁷ of the multiplication:

⁷Associativity of (N, k, s) ⁺ is clearly necessary for performing this embedding. For this reason, is does not seem useful to consider the commutative Jordan 'algebra' generated by the monoid, as this will fail to be associative in general.

Secondly, we show that $m_{(N,k,s)_{n+1}}$ preserves units, where we employ the unit law for the monoid $m_{(N,k,s)_n+}$:

$$
m_{(N,k,s)_{n+}}\begin{pmatrix}N\otimes N^*\\N\\N\end{pmatrix}=\begin{pmatrix}N\otimes N^*\\N\end{pmatrix}=\begin{pmatrix}N\otimes N^*\\N\end{pmatrix}
$$

So $m_{(N,k,s)_{n+}}$ is a well-defined morphism of monoids, for all monoids $(N, k, s)_{n+}$.

We now describe a quantum-mechanical application of this construction. From the theory of the conventional quantum harmonic oscillator, we expect that for all objects *A* and for all $\phi: I \to A$ in **C**,

$$
Coh(\phi) = \exp(a_{\phi}^{\dagger}) \circ e_A^{\dagger}, \qquad (55)
$$

where $\exp(a_{\phi}^{\dagger})$ is the endomorphism exponential of a_{ϕ}^{\dagger} .

The name $\lceil a_{\phi}^{\dagger} \rceil$ of the raising morphism $a_{\phi}^{\dagger} : F(A) \to F(A)$ is an element of the endomorphism monoid $\bigcirc_{n+}^{F(A)}$. Noting that $\ulcorner a^{\dagger}_{\phi} \urcorner = m_{Q+(A)} \circ \epsilon^{\dagger}_A \circ \phi$, and therefore that $\ulcorner a^{\dagger}_{\phi} \urcorner$ factors through a morphism of monoids in the necessary way, we apply equation [\(52\)](#page-28-0) to obtain

$$
\exp_{\bigcirc_{n+}^{F(A)}}(\ulcorner a_{\phi}^{\dagger} \urcorner) = \exp_{\bigcirc_{n+}^{F(A)}}(m_{Q+(A)} \circ \epsilon_{A}^{\dagger} \circ \phi)
$$

$$
= m_{Q+(A)} \circ \exp_{Q+(A)}(\epsilon_{A}^{\dagger} \circ \phi).
$$

This simplifies further, using the following lemma.

Lemma 4.9 *The coherent state is expressed as an exponential in the following way*:

$$
Coh(\phi) = \exp_{Q_+(A)} (\epsilon_A^{\dagger} \circ \phi).
$$
 (56)

Proof Writing this out with the graphical calculus, the result follows straightforwardly from the adjunction equation [\(28\)](#page-17-0).

Writing the endomorphism exponential $exp(a_{\phi}^{\dagger})$ in terms of its name, we obtain

$$
\exp(a_{\phi}^{\dagger}) \equiv (\mathrm{id}_{A} \otimes \theta_{A}) \circ (\ulcorner \exp(a_{\phi}^{\dagger}) \urcorner \otimes \mathrm{id}_{A})
$$

=
$$
(\mathrm{id}_{A} \otimes \theta_{A}) \circ (\exp_{\bigcirc_{h+}^{F(A)}}(\ulcorner a_{\phi}^{\dagger} \urcorner) \otimes \mathrm{id}_{A})
$$

=
$$
(\mathrm{id}_{A} \otimes \theta_{A}) \circ ((m_{Q_{+(A)}} \circ \mathrm{Coh}(\phi)) \otimes \mathrm{id}_{A}).
$$

 \mathcal{D} Springer

Using the graphical representation and simplifying, this gives the following:

$$
F(A)
$$
\n
$$
\begin{bmatrix}\n\exp(a_{\phi}^{\dagger}) & = & \left(\begin{matrix}\n\phi_{\phi} & \phi_{\phi} & \phi_{\phi} \\
\phi_{\phi} & \phi_{\phi} & \phi_{\phi} & \phi_{\phi}\n\end{matrix}\right]\n\end{bmatrix} \tag{57}
$$

It is now simple to demonstrate (55) .

Theorem 4.10 *The exponential of the raising morphism applied to the zero-particle state produces the coherent state*:

$$
Coh(\phi) = \exp(a_{\phi}^{\dagger}) \circ e_A^{\dagger}
$$
 (55)

Proof This is immediate from the graphical representation (57) and the unit law for the monoid $Q_+(A)$.

5 The Conventional Quantum Harmonic Oscillator as a Special Case

5.1 Constructing the Base Category

In this section, we will construct a categorical harmonic oscillator on a suitable category of vector spaces. The structures that we obtain will be those used to study the harmonic oscillator in conventional quantum mechanics. However, constructing the category in which we will work will not be straightforward. We will unavoidably need to work with infinitedimensional vector spaces, and with unbounded linear maps, but the category of separable Hilbert spaces and all unbounded linear maps is not well-defined, as two unbounded maps $f: A \rightarrow B$ and $g: B \rightarrow C$ may fail to have a well-defined composite $g \circ f$. We overcome this problem by working with inner-product spaces, which are not necessarily complete, allowing us to include a restricted class of unbounded maps in our category. A second problem is that the trace operation for an infinite-dimensional Hilbert space is unbounded, and does not fit into our chosen class of admissible unbounded maps. As a consequence of this, our category will not have duals.

We define our category in the following way.

Definition 5.1 The category **Inner** has objects given by separable complex inner-product spaces. Morphisms are given by everywhere-defined linear maps $f : A \rightarrow B$, such that f^{\dagger} : *B* \rightarrow *A* is also everywhere-defined.

Note that this category will contain both complete and non-complete inner-product spaces.

Lemma 5.2 *The category* **Inner** *is a symmetric monoidal* †*-category with* †*-biproducts*.

Proof The symmetric monoidal product is given by the tensor product of inner-product spaces, and the monoidal unit object is given by the one-dimensional space C. The †-functor is given by the adjoint, which by construction is well-defined. The †-biproduct structure is given by the direct sum of inner-product spaces, along with the canonical normalised and orthogonal projections.

It may seem that we have abandoned Hilbert spaces, but we have done so only in a very mild way: every inner-product space A has a canonical embedding into its completion A , which is a Hilbert space. Moreover, all bounded linear operators $A \rightarrow B$ can be extended to bounded linear operators $\overline{A} \rightarrow B$, and further to bounded linear operators $\overline{A} \rightarrow \overline{B}$. In fact, this new definition makes no difference at all in the finite-dimensional case, since every finite-dimensional inner product space is complete, and therefore a Hilbert space; as a result, **FdHilb** is a full subcategory of **Inner**. However, in the infinite-dimensional case it makes a big difference: our unbounded operators can now be everywhere-defined, which ensures that they will always compose well with other morphisms.

Unfortunately, we will not be able to find duals in this category. The trace on an infinitedimensional inner-product space A, considered as a linear map $tr_A: A^* \otimes A \to \mathbb{C}$ which takes the name of an operator to its trace, is not bounded, as the trace of a normalised operator name can be arbitrarily large. This itself is not a problem; the set of operator names having finite trace (the trace-class operators) do form an inner-product space. But the adjoint to this map has empty domain: $tr_A^{\dagger}(1) = \int id_A^{\dagger}$, but $tr_A(\int id_A^{\dagger})$ is infinite, and so $tr_A^{\dagger}(1)$ is not a trace-class operator. This causes a problem for the construction of duals, for which we require a trace operator $\theta_A : A^* \otimes A \to \mathbb{C}$ and its transpose, the name of the identity operator $\ulcorner \text{id}_A \urcorner \equiv \zeta_A : \mathbb{C} \to A \otimes A^*$. To summarise: although the trace is a well-defined linear operator, it must be left out of our category since we lack an infinite scalar to represent the trace of the identity. Duals do not play a big part in our construction, but this does mean that we will be unable to implement the endomorphism exponential construction.

5.2 Constructing the Adjunction

Although we are primarily interested in the category **Inner** at this point, the construction of the adjunction that we are going to make can be carried out in a wide class of categories.

We work with a symmetric monoidal \dagger -category **C**, with countably-infinite \dagger -biproducts, sufficient countably-infinite sums, and symmetric †-subspaces (which we define below). Note that, although we require the existence of a countably-infinite extension to the †-biproduct structure, we do *not* require the existence of countably-infinite diagonals and codiagonals. Rather, we require for each countable set A_i of objects in C the existence of a †-biproduct object $\bigoplus_i A_i$, along with canonical projection morphisms $\pi_j : \bigoplus_i A_i \to A_j$ for each element of the biproduct.

Definition 5.3 The *n-fold tensor product functor* T_n : $C \rightarrow C$ is defined on all objects *A* and all morphisms $f : A \to B$, for each natural number $n \ge 0$, as

$$
T_n(A) := \bigotimes_n A =: A^{\otimes n}, \qquad T_n(f) := \bigotimes_n f =: f^{\otimes n}.
$$

 $A^{\otimes 0}$ is defined to be *I*, the tensor unit, and $f^{\otimes 0}$ is id_{*I*}.

Definition 5.4 Given a tensor product object $A^{\otimes n}$, we write $(swap_A^{\otimes n})_{i,j}: A^{\otimes n} \to A^{\otimes n}$ for the symmetry isomorphism which exchanges the *i*th and *j* th factors of the tensor product.

Definition 5.5 We define the *n-fold symmetric* \dagger *-subspace* $A^{\otimes_s n}$ of an object *A* as the following †-coequaliser:

We are taking the coequaliser of all permutations of the tensor factors of $A^{\otimes n}$, or at least of a generating set for the permutation group. $A^{\otimes_s 0}$ is defined to be *I*, the tensor unit.

The \dagger -coequaliser property implies that for all *A* and *n*, we have $s_A^n \circ s_A^{n \dagger} = id_{A^{\otimes_s n}}$, as discussed in Sect. [2.5](#page-4-0).

Lemma 5.6 *The projections onto the symmetric subspace* s_A^n \circ s_A^n : $A^{\otimes n} \to A^{\otimes n}$ *are natural.*

Proof This follows from the fact that, for all arrows $f : A \to B$, $f^{\otimes n} \circ s_A^{n \dagger} \circ s_A^n$ is a cone for the equaliser diagram defining s_B^n †. 1990 - Prince Barbara, amerikansk politik († 1900)
1900 - Paul Barbara, amerikansk politik († 1900)

Definition 5.7 The *n-fold symmetric tensor product functor* S_n : $C \to C$ is defined on all objects *A* and all morphisms $f: A \rightarrow B$, for all natural numbers $n > 0$, as

$$
S_n(A) := A^{\otimes_s n},
$$

$$
S_n(f) := s_B^n \circ f^{\otimes n} \circ s_A^{n\dagger}.
$$

Functoriality of composition follows from Lemma 5.6.

Definition 5.8 The *symmetric subspace natural projection* s^n : $T_n \rightarrow S_n$ is defined at each stage *A* as $(s^n)_A := s_A^n$. It can be shown to be natural using Lemma 5.6.

Definition 5.9 The *commutative ladder functor* $L_{\mathbb{C}} : \mathbb{C} \to \mathbb{C}$ on a symmetric monoidal †-category **C** with †-biproducts and symmetric †-subspaces is defined as

$$
L := \bigoplus_{n=0}^{\infty} S_n,
$$
\n(58)

where the †-biproduct is understood as being applied componentwise for each stage, so for all objects *A* in **C**, $G(A) = \bigoplus_{n=0}^{\infty} S_n(A) = S_0(A) \oplus S_1(A) \oplus \cdots$.

Definition 5.10 The ladder functor L_C has natural projections onto its components, which are derived from the †-biproduct structure. The projectors form the *ladder projection natural transformations*, for all natural numbers *n*:

$$
p^n: L_{\mathbb{C}} \dot{\to} S_n. \tag{59}
$$

Note that this is not an exponential notation; *n* is just an index.

Definition 5.11 Any cocommutative comonoid (A, g, u) in \mathbb{C}_x has a series of *n*-fold co*multiplication maps* g^{n-1} : $A \to A^{\otimes n}$ for all natural $n \ge 0$. The exponential notation is only suggestive; for the comultiplication $g : A \to A \otimes A$, of course we cannot construct $g \circ g$. However, we *can* construct $(g \otimes id_A) \circ g : A \to A \otimes A \otimes A$. The associativity axiom means that this morphism is the same as $(id_A \otimes g) \circ g$, so there is no need to make a choice here. For $n \geq 2$, we define

$$
g^{n-1} := \left(g \otimes \mathrm{id}_A^{(n-2)}\right) \circ \cdots \circ (g \otimes \mathrm{id}_A) \circ g. \tag{60}
$$

For the special case of $n = 0$, we define $g^{-1} := u : A \rightarrow I$; and for $n = 1$, we define $g^0 := id_A$. Also notice that $g^1 \equiv g$, which gives the notation some intuitive consistency. If the notion of an *n*-fold comultiplication seems mysterious, that is only because we are not used to working with comonoids: it is no different to using the associative multiplication of a monoid to perform *n*-fold multiplication.

Definition 5.12 An adjunction $\langle R, P, \kappa, \lambda \rangle$, where $R: \mathbb{C}_{\times} \to \mathbb{C}$ is the forgetful functor, is *ladder-style* if the right adjoint $P: \mathbb{C} \to \mathbb{C}_{\times}$, the unit *κ* and the counit λ are of the following form, for all objects *A* and *B* and all morphisms $f : A \rightarrow B$ in **C**:

$$
P(A) = (L_{\mathbf{C}}(A), b_A, c_A)_{\times},
$$

\n
$$
P(f) = L_{\mathbf{C}}(f),
$$

\n
$$
b_A = \sum_{m,n=0}^{\infty} B_{m,n} \cdot (p_A^{m^{\dagger}} \otimes p_A^{n^{\dagger}}) \circ (s_A^m \otimes s_A^n) \circ (s_A^{m+n})^{\dagger} \circ p_A^{m+n},
$$

\n
$$
c_A = C \cdot p_A^0,
$$

\n
$$
\kappa_{(A,g,u)_{\times}} = \sum_{n=0}^{\infty} K_n \cdot p_A^{n^{\dagger}} \circ s_A^n \circ g^{n-1},
$$

\n
$$
\lambda_A = L \cdot p_A^1.
$$

The objects $B_{m,n}$, *C*, K_n and *L* are all scalars in $Hom_C(I, I)$, and are functions of the natural numbers *m* and *n* where appropriate.

These scalars cannot be freely chosen, as the counit, coassociativity and cocommutative equations, the adjunction equations, and the requirement that $\kappa_{(A,g,u)_x}$ is a morphism of comonoids will all give constraints on their values. There is also the need to ensure that all morphisms actually exist in the category **C**, which will not necessarily be the case for all choices of scalars, given that all countable sums will not necessarily be defined. We will not enter into a complete analysis of the possible values that the scalars can take, but it is worth mentioning that setting all scalars equal to id_I does satisfy all of the constraints, and so will provide an adjunction between **C** and C_\times if all the required sums exist. This gives rise to the 'canonical' free cocommutative comonoid construction.

Lemma 5.13 *If a ladder-style adjunction* $\langle R, P, \kappa, \lambda \rangle$ *is a harmonic oscillator adjunction*, *then the extra constraints imposed on the scalar coefficients*, *in addition to those arising from the condition that the adjunction be well-defined*, *are as follows*:

- 1. *Both C and L are unitary*.
- 2. $B_{n,m} \circ B_{n,m}^{\dagger} = \frac{(n+m)!}{n!m!}.$

We note that $(n + m)!/n!m!$ is always a natural number, and so exists as a scalar in our category as a repeated sum of the scalar identity id*^I* .

Lemma 5.14 *If a ladder-style adjunction* $\langle R, P, \kappa, \lambda \rangle$ *is a harmonic oscillator adjunction*, *then the following equations must hold for the coefficients Kn*: 8

- 1. $K_0 = C^{\dagger}$.
- 2. $K_1 = L^{\dagger}$.
- 3. $(n+1)K_{n+1}K_{n+1}^{\dagger} = K_n^{2\dagger}K_n^2$.

It is straightforward to see from the equations given that, in a category with inverses to all scalars, we must have

$$
K_n K_n^{\dagger} = \frac{1}{n!}.
$$
\n⁽⁶¹⁾

It is no surprise that these are the coefficients of the power series of the exponential function, given the role that the natural transformation κ plays in defining exponential structure as discussed in Sect. [4.](#page-25-0)

We now investigate this structure in the category **Inner**.

Definition 5.15 In the †-category **Inner**, we define the countably-infinite †-biproduct $\bigoplus_n A_n$ as the inner-product space consisting of sequences $a = (a_1, a_2, \ldots)$ of vectors, with $a_n \in A_n$, such that $\sum_{n=1}^{\infty} c^n (a_n, a_n)_{A_n}$ is finite for all complex numbers *c*, and $(-, -)_{A_n}$ is the inner product of A_n . The inner product on $\bigoplus_n A_n$ is given by $(a, b) = \sum_{n=1}^{\infty} (a_n, b_n)_{A_n}$, which will always be finite (just choose $c = 1$).

We choose the rather strong requirement that $\sum_{n=1}^{\infty} c^n (a_n, a_n)_{A_n}$ be finite for all complex numbers c to allow us to define a large class of linear maps on $\bigoplus_n A_n$. If we used the 'standard' definition, where we only require the sum to converge for $c = 1$, then the only everywhere-defined linear maps with source object $\bigoplus_n A_n$ would be the bounded linear maps. This would be too restrictive for our purposes; we will see that unbounded linear maps form a crucial part of the structure.

Definition 5.16 The harmonic oscillator adjunction $\langle Q, \eta, \epsilon \rangle$ on **Inner** is a ladder-style adjunction, defined by coefficients

$$
B_{n,m} = \sqrt{\frac{(n+m)!}{n!m!}}, \qquad K_n = \frac{1}{\sqrt{n!}},
$$

 $C = 1, \qquad L = 1.$

There exist other harmonic oscillator adjunctions, but we choose this one as it is the most straightforward.

⁸There is a fourth equation arising from the adjunction equation $P\lambda \circ \kappa P = \mathrm{id}_P$, but this is not so easy to express in components.

We conjecture that this harmonic oscillator adjunction is well-defined. The difficulty lies in establishing that the natural transformation η : id_{Inner} \rightarrow *Q* ∘ *R* is defined at every stage; more work is needed here.

Assuming the model is valid, it is simple to demonstrate that we recover the conventional raising and lowering operators from $\langle Q, \eta, \epsilon \rangle$:

$$
a_{\phi}^{\dagger} = d_{A}^{\dagger} \circ (\epsilon_{A}^{\dagger} \otimes id_{F(A)}) \circ (\phi \otimes id_{F(A)})
$$

\n
$$
= \sum_{m,n=0}^{\infty} \sqrt{\frac{(m+n)!}{m!n!}} \cdot (p_{A}^{m\dagger} \otimes p_{A}^{n\dagger}) \circ (s_{A}^{m} \otimes s_{A}^{n}) \circ (s_{A}^{m+n})^{\dagger} \circ p^{m+n}
$$

\n
$$
= \sum_{m,n=0}^{\infty} \sqrt{\frac{(m+n)!}{m!n!}} \cdot p_{A}^{m+n\dagger} \circ s_{A}^{m+n} \circ (s_{A}^{m\dagger} \otimes s_{A}^{n\dagger}) \circ (p_{A}^{m} \otimes p_{A}^{n}) \circ ((p_{A}^{1\dagger} \circ \phi) \otimes id_{F(A)})
$$

\n
$$
= \sum_{n=0}^{\infty} \sqrt{n+1} \cdot p_{A}^{n+1\dagger} \circ s_{A}^{n+1} \circ (id_{A} \otimes s_{A}^{n\dagger}) \circ (\phi \otimes p_{A}^{n}).
$$

We also obtain the conventional coherent states:

$$
\text{Coh}(\phi) = F_{\mathbf{H}}(\phi) \circ R_{\mathbf{H}} \eta_{I_{\times}}
$$
\n
$$
= \left[\sum_{n=0}^{\infty} p_{A}^{n} \circ s_{A}^{n} \circ \phi^{\otimes n} \circ s_{I}^{n} \circ p_{I}^{n} \right] \circ \left[\sum_{m=0}^{\infty} \frac{1}{\sqrt{m!}} \cdot p_{I}^{m} \circ s_{I}^{m} \circ (\lambda_{I}^{\dagger})^{m-1} \right]
$$
\n
$$
= \sum_{n=0}^{\infty} \frac{1}{\sqrt{m!}} \cdot p_{A}^{n} \circ s_{A}^{n} \circ \phi^{\otimes n} \circ (\lambda_{I}^{\dagger})^{n-1}
$$
\n
$$
= \left(\text{id}_{I}, \phi, \frac{1}{\sqrt{2!}} \phi \otimes_{s} \phi, \frac{1}{\sqrt{3!}} \phi \otimes_{s} \phi \otimes_{s} \phi, \dots, \frac{1}{\sqrt{n!}} \phi^{\otimes_{s} n}, \dots \right).
$$

We now turn to the morphism exponentials. Given a commutative monoid (A, g, u) and an element $\phi: I \to A$, we can construct the exponential of ϕ :

$$
\exp_{(A,g,u)_+}(\phi) = R_+ \eta^+_{(A,g,u)_+} \circ F(\phi) \circ R_x \eta^{\times}_{I_x}
$$

\n
$$
= (R \eta_{(A,g^{\dagger},u^{\dagger})_x})^{\dagger} \circ F(\phi) \circ R \eta_{I_x}
$$

\n
$$
= \sum_{m,n,p=0}^{\infty} \frac{1}{\sqrt{m!p!}} \cdot g^{m-1} \circ s_A^{m \dagger} \circ p_A^m \circ p_A^{n \dagger} \circ s_A^n \circ \phi^{\otimes n} \circ s_I^{n \dagger}
$$

\n
$$
\circ p_I^n \circ p_I^{p \dagger} \circ s_I^p \circ (\lambda_I^{\dagger})^{p-1}
$$

\n
$$
= \sum_{m=0}^{\infty} \frac{1}{m!} \cdot g^{m-1} \circ s_A^{m \dagger} \circ s_A^m \circ \phi^{\otimes m} \circ s_I^{m \dagger} \circ s_I^m \circ (\lambda_I^{\dagger})^{p-1}
$$

\n
$$
= \sum_{m=0}^{\infty} \frac{1}{m!} \cdot g^{m-1} \circ \phi^{\otimes m} \quad \text{(suppressing } \lambda_I^{\dagger} \text{ isomorphisms)}.
$$

This is clearly a direct generalisation of the conventional notion of exponential. We do not have duals in the category, so we cannot demonstrate endomorphism exponentials; however,

if one allows the duality morphisms to 'formally' exist in the category, it is clear the usual operator exponential construction is obtained.

6 Discussion

In this paper, we presented a categorical description of the state space of the quantum harmonic oscillator in any symmetric monoidal †-category with finite †-biproducts, giving categorical definitions of the zero-particle state, single-particle state and raising and lowering morphisms, and demonstrating the canonical commutators. We described how coherent states could be defined as the points of certain comonoids in \mathbb{C}_{\times} , and proved that they can be copied and deleted, and that they are eigenstates of the lowering morphisms. A formalism was developed for constructing exponentials of elements of commutative monoids, and we demonstrated that these exponentials are additive in a familiar way, and that the exponential of the appropriate zero element gives the unit for the monoid.

We then added duals to our category and demonstrated that endomorphism exponentials, generalising operator exponentials in conventional Hilbert space theory, could be defined in general, and that they interacted well with the existing categorical structures, coherent states being defined by the endomorphism exponentials of the raising morphisms.

Finally, we explored these structures in the context of a suitably-defined category of separable inner-product spaces, demonstrating that the conventional mathematical structures are produced by the categorical construction in this case. We conjectured that the construction was well-defined, and described the remaining open problem.

However, there are many issues which are still unclear. Philosophically, perhaps the biggest problem with the existing framework for categorical quantum mechanics is the lack of any nontrivial categorical description of dynamics. For this reason, it is questionable whether the system under study in this paper deserves to be called the harmonic oscillator at all: without a description of dynamics, all that has really been defined is the state space, but of course, many different systems have isomorphic state spaces.

Conventionally, a quantum system is described by a Hilbert space *A* and a self-adjoint operator $H: A \rightarrow A$. This operator describes the energy of different states of the system, and generates time evolution via Schrödinger's first-order differential equation. Work has been done on differential structure in categories, as we discuss below, but an elegant categorical description of the other ingredients of the Schrödinger equation, such as Planck's constant *h* and the imaginary unit *i*, is far from apparent. The author is hopeful that the endomorphism exponential construction presented here might somehow prove relevant to this problem, given Stone's theorem in the classical case, which describes how the operator exponential induces an isomorphism between self-adjoint operators and certain unitary one-parameter groups.

We also note that the operator exponential construction, by way of the unit natural transformation η , calculates infinite sums, which, given that each term of the sum can be given a graphical representation, are strongly reminiscent of the infinite sums of Feynman diagrams which are used to defined an interacting field theory perturbatively. It would be interesting to explore whether a correspondence can be made here, and the formalism described in this paper extended to give a categorical framework for perturbative quantum field theory.

However, lurking behind the theory of the endomorphism exponential is the simple fact that interesting models of it seem impossible to come by. We recall from Sect. [5](#page-31-0) that if a category of Hilbert spaces contains infinite-dimensional objects, then it cannot be given duals, since the trace of the identity on an infinite-dimensional space is infinite. There is no

hope of adding an infinite scalar to the category: it is demonstrated in [\[7](#page-39-0)] that a semiring can only be given all countably-infinite sums iff it admits a partial order, compatible with the semiring multiplication and addition, but the complex numbers admit no such ordering.

It seems that there is a fundamental incompatibility between duals, the existence of a right adjoint to the forgetful functor $R: \mathbb{C}_{\times} \to \mathbb{C}$, and non-trivial (by which we mean nonpartially-orderable) scalars. The reason is this: if there exists a right adjoint *Q* to *R*, then it seems that objects in the image of *Q* must be infinite-dimensional. Duals allow us to take the trace of the identities on these infinite objects, but that will involve an infinite sum of scalars, which cannot be defined if the scalars are non-trivial. Dropping any one of these three properties, models are easy to come by: the category **FdHilb** lacks a right adjoint to *R*, the category **Inner** lacks duals, and the category **Rel** of sets and relations lacks non-trivial scalars, but they all have the other two of the three properties.

A completely different interpretation of the categorical structures described in this paper is as a model for the differential calculus of polynomials in one or more variables (see [[3\]](#page-39-0), and also [[4](#page-39-0)] developed in parallel to this work). In this viewpoint, the raising operator performs multiplication by a homogeneous polynomial of degree 1, and the lowering operator performs differentiation. It is meaningless to discuss whether the mathematics presented here is more 'honestly' a model of calculus or of the quantum harmonic oscillator, but there are certainly differences between the viewpoints: it is not conventional to consider multiplication and differentiation as being literally adjoint to each other, for example, as is natural for the quantum raising and lowering operators. The quantum point of view also suggests different avenues for generalisation: there are many other quantum systems, and it would be fascinating to explore the extent to which they too have natural categorical descriptions. Questions concerning categorical descriptions of the dynamics also seem difficult to ask from the point of view of the calculus of polynomials.

In fact, rather then being alternatives, the two viewpoints may have an interesting and nontrivial connection. We expect the position and momentum operators—that is, the multiplication and differentiation operators—to arise as linear combinations of the raising and lowering operators, as $x_{\phi} = (a_{\phi}^{\dagger} + a_{\phi})/\sqrt{2}$ and $p_{\phi} = i (a_{\phi}^{\dagger} - a_{\phi})/\sqrt{2}$ respectively, in a suitable choice of units. They are of crucial importance: for example, the spectrum of x_{ϕ} , where *φ* ranges over a basis for the single-particle space, represents physical space for the case of a harmonic oscillator which is free to oscillate in all directions.

It is also striking that the framework presented in this paper has so much in common with the model theory for linear logic [\[4,](#page-39-0) [11](#page-39-0)]. However, some aspects which are key to the description of the quantum harmonic oscillator—such as the raising and lowering operators, and their commutation relations—seem syntactically absent from linear logic, and are therefore quite mysterious from that point of view. In light of this, it would be interesting to explore whether linear logic might itself admit modification that would render it more suitable for performing deductions about quantum systems, such as the quantum harmonic oscillator considered here.

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